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NASSAU COUNTY INDUSTRIAL DEVELOPMENT AGENCY

APPLICATION FOR FINANCIAL ASSISTANCE

APPLICATION OF:

ACS SYSTEM ASSOCIATES, INC.

APPLICANT NAME

Please respond to all questions in this Application for Financial Assistance (the "Application") by, as appropriate:

- filling in blanks;
- checking the applicable term(s);
- attaching additional text (with notation in Application such as "see Schedule H, Item # 1", etc.); or
- · writing "N.A.", signifying "not applicable".

All attachments responsive to questions found in this Application should be clearly labeled and attached as Schedule I to the Application. If an estimate is given, enter "EST" after the figure. One signed original and one photocopy of the Application (including all attachments) must be submitted.

The following amounts are payable to the Nassau County Industrial Development Agency (the "Agency") at the time this Application is submitted to the Agency: (i) a \$1,000 nonrefundable application fee (the "Application Fee"); (ii) a \$3,500 expense deposit for the Agency's Transaction/Bond Counsel fees and expenses (the "Counsel Fee Deposit"), (iii) a \$2,500 expense deposit for the cost/benefit analysis with respect to the project contemplated by this Application (the "Cost/Benefit Deposit"), and (iv) a \$500 expense deposit for the real property tax valuation analysis, if applicable, with respect to the project contemplated by this Application (the "Valuation Deposit"). The Application Fee will not be credited against any other fees or expenses which are or become payable to the Agency in connection with this Application or the project contemplated herein (the "Project"). In the event that the subject transaction does not close for any reason, the Agency may use all or any part of the Counsel Fee Deposit, the Cost/Benefit Deposit and/or the Valuation Deposit to defray the cost of Transaction/Bond Counsel fees and expenses, the cost of obtaining a cost/benefit analysis and/or the cost of obtaining a real property tax valuation with respect to the Project. In the event that the subject transaction does close, the Counsel Fee Deposit, the Cost/Benefit Deposit and the Valuation Deposit shall be credited against the applicable expenses incurred by the Agency with respect to the Project.

Every signature page comprising part of this Application must be signed by the Applicant or this Application will not be considered complete or accepted for consideration by the Agency.

The Agency's acceptance of this Application for consideration does not constitute a commitment on the part of the Agency to undertake the proposed Project, to grant any financial assistance with respect to the proposed Project or to enter into any negotiations with respect to the proposed Project.

Information provided herein may be subject to disclosure under the New York Freedom of Information Law (New York Public Officers Law § 84 et seq.) ("FOIL"). If the Applicant believes that a portion of the material submitted with this Application is protected from disclosure under FOIL, the Applicant should mark the applicable section(s) or page(s) as "confidential" and state the applicable exception to disclosure under FOIL.

September 14, 2018 DATE

PART I. APPLICANT

A.	APPLICAN	Γ FOR FINAN	CIAL ASSIST	ANCE:		
	Name: ACS	System Assoc	iates, Inc.			
	Address: 160	W. Lincoln A	Avenue, Moun	t Vernon, N	Y 10550	
	Fax: (914) 6	65-8772	Phone: (914) 665-5800		
	NY State De Labor Reg #:	pt. of 21-40629	Federal Emp	oloyer ID #		
	NAICS Code	#: 238220, 2 3	38210			
	Website: ww	w.acssystem.o	com			
	Name of CE	O or Authorize	ed Representati	ve Certifying	Application: Ah	mad Reyaz
	Title	of Officer: Pre	esident			
	Phon	e Number <u>(718</u>	B) 434-6186 E	-Mail: ahma	lreyaz@acssyst	em.com
B.	BUSINESS 7	ΓΥΡΕ (Check	applicable statt	is. Complete	blanks as necess	ary):
	Sole Propriet	orship G	eneral Partners	hip Lin	nited Partnership	
	Limited Liab	ility Company	Priva	ately Held Co	rporation X	
	Publicly Hele	d Corporation	Exch	ange listed o	n	
	Not-for-Prof	it Corporation				
	Income taxed		hapter S X_ c)(3) Corporati			
		ar of Incorpora change name	Albert Description of the Control of	ion: New Yo	rk 1983 (origin	al); 1998
	Qualified to	do Business in	New York:	Yes $\underline{\mathbf{X}}$	No	N/A
C.	APPLICANT	COUNSEL:				
	Firm name: Address: Contact:		z P.C. aza, Uniondal Terzulli, Esq.			

Phone:

(516) 227-0733

E-Mail:

NTerzulli@FarrellFritz.com

D. Principal stockholders, members or partners, if any (i.e., owners of 10% or more of equity/voting rights in Applicant):

Name

Percentage owned

Ahmad Reyaz

100 %

- E. If any of the persons described in the response to the preceding Question, or a group of said persons, owns more than a 50% interest in the Applicant, list all other entities which are related to the Applicant by virtue of such persons having more than a 50% interest in such entities: N/A
- F. Is the Applicant related to any other entity by reason of more than 50% common ownership? If YES, indicate name of related entity and relationship:

YES

NO X

G. List parent corporation, sister corporations and subsidiaries, if any:

N/A

H. Has the Applicant (or any parent company, subsidiary, affiliate or related entity or person) been involved in, applied for or benefited by any prior industrial development financing in the municipality in which this Project is located, whether by the Agency or another issuer, or in a contiguous municipality? ("Municipality" herein means city, town or village, or, if the Project is not in an incorporated city or village, Nassau County.) If YES, describe:

YES

NO X

I. Is the Applicant (or any parent company, subsidiary, affiliate or related entity or person) or any principal(s) of the Applicant or its related entities involved in any litigation or aware of any threatened litigation that would have a material adverse effect on the Applicant's financial condition or the financial condition of said principal(s)? If YES, attach details at Schedule I.

YES

NO X

J. Has the Applicant (or any parent company, subsidiary, affiliate or related entity or person) or any principal(s) of the Applicant or its related entities, or any other business or concern with which such entities, persons or principal(s) have been connected, ever been involved, as debtor, in bankruptcy, creditors rights or receivership proceedings or sought protection from creditors? If YES, attach details at Schedule I.

YES ___ NO X

K. Has the Applicant (or any parent company, subsidiary, affiliate or related entity or person) or any principal(s) of the Applicant or its related entities, ever been convicted of any felony or misdemeanor (other than minor traffic offenses), or have any such related persons or principal(s) held positions or ownership interests in any firm or corporation that has been convicted of a felony or misdemeanor (other than minor traffic offenses), or are any of the foregoing the subject of a pending criminal proceeding or investigation? If YES, attach details at Schedule I.

YES ___ NO X

L. Has the Applicant (or any parent company, subsidiary, affiliate or related entity or person) or any principal(s) of the Applicant or its related entities, or any other business or concern with which such entities, persons or principal(s) have been connected, been cited for (or is there a pending proceeding or investigation with respect to) a civil violation of federal, state or local laws or regulations with respect to labor practices, hazardous wastes, environmental pollution, taxation, or other operating practices? If YES, attach details at Schedule I.

YES ___ NO X

M. Is the Applicant (or any parent company, subsidiary, affiliate or related entity or person) or any principal(s) of the Applicant or its related entities, or any other business or concern with which such entities, persons or principal(s) have been connected, delinquent or have any of the foregoing persons or entities been delinquent on any New York State, federal or local tax obligations within the past five (5) years? If YES, attach details at Schedule I.

YES ___ NO X

N. Complete the following information for principals (including, in the case of corporations, officers and members of the board of directors and, in the case of limited liability company, members and managers) of the Applicant:

Name Title Other Business Affiliations
Ahmad Reyaz President N/A

Do any of the foregoing principals hold elected or appointive positions with New York State, any political division of New York State or any other governmental agency? If YES, attach details at Schedule I.

YES	

NO X

Are any of the foregoing principals employed by any federal, state or local municipality or any agency, authority, department, board, or commission thereof or any other governmental or quasi-governmental organization?

YES

NO X

- O. Operation at existing location(s) (Complete separate Section O for each existing location):
 - (a) Location: 160 West Lincoln Avenue, Mount Vernon, NY 10550
 - (b) Number of Employees: Full-Time: 80 Part-Time (Field): 168

Total Employees: 248

- (c) Annual Payroll, excluding benefits: \$5.8 MM
- (d) Type of operation: HVAC Systems: Design, Manufacture and Install
- (e) Size of existing facility real property(i.e., acreage of land): 1 acre (approximate)
- (f) Buildings (number and square footage of each): 1 approximately 20,000 sq. ft. building and an approximately 3,000 sq. ft. metal fabrication shop.
- (g) Applicant's interest in the facility

FEE TITLE: LEASE: X OTHER (describe below):

Will the completion of the proposed Project result in the removal of a plant or facility of the Applicant, or of a proposed user, occupant or tenant of the Project, or a relocation of any employee of the Applicant, or any employee of a proposed user, occupant or tenant of the Project, from one area of the State of New York (but outside of Nassau County) to a location in Nassau County or in the abandonment of such a plant or facility located in an area of the State of New York outside of Nassau County? If YES, complete the attached Anti-Raiding Questionnaire (Schedule D).

YES X

NO

 Will the proposed Project result in the removal or abandonment of a plant or facility of the Applicant, or of a proposed user, occupant or tenant of the proposed Project, or a relocation of any employee of the Applicant, or any employee of a proposed user, occupant or tenant of the proposed Project, located within Nassau County? If YES, identify the location of the plant or facility and provide explanation.

YES ___ NO X

P. Has the Applicant considered moving to another state or another location within New York State? If YES, explain circumstances.

YES X NO

ACS considered expanding and relocating to New Jersey, which would allow them to service the New York construction industry while operating in a lower tax environment. Additionally, ACS considered other locations in New York.

Q. Does any one supplier or customer account for over 50% of Applicant's annual purchases or sales, respectively? If YES, attach name and contact information for supplier and/or customer, as applicable:

YES ___ NO X

R. Does the Applicant (including any related entity or person) or any principal(s) of the Applicant or its related entities, or any other business or concern with which such entities, persons or principal(s) have been connected, have any contractual or other relationship with the Agency or the County of Nassau? If YES, attach details at Schedule I.

YES X NO

S. Nature of Applicant's business (e.g., description of goods to be sold, products manufactured, assembled or processed, services rendered):

ACS designs, fabricates, and installs HVAC systems for new construction and renovation projects in the New York tristate area.

T. ANY RELATED PARTY PROPOSED TO BE A USER OF THE PROJECT:

Name: N/A

Relationship to Applicant: N/A

Provide the information requested in Questions A through S above with respect to each such party by attachment at Schedule I.

PART II. PROPOSED PROJECT

A.	Тур	es of Financial Assistance Requested:
	X	Sales/Use Tax Exemption
	X	Mortgage Recording Tax Exemption
	X	Real Property Tax Exemption
	100	Real Floporty Tax Exemption
B.	Туре	e of Proposed Project (check all that apply and provide requested information):
		New Construction of a Facility
		Square footage:
		Addition to Existing Facility
		Square footage of existing facility:
		Square footage of addition:
		Renovation of Existing Facility
		Square footage of area renovated:
		Square footage of existing facility:
		equal tooling of existing facility.
	\mathbf{X}	Acquisition of Land/Building
		Acreage/square footage of land: 2.64 Acres
		Square footage of building: 38,075 SQ FT
	X	Acquisition of Furniture/Machinery/Equipment
		List principal items or categories:
		Various furniture, fixtures, and equipment
		Other (specify):
C.	neces	ly describe the purpose of the proposed Project, the reasons why the Project is sary to the Applicant and why the Agency's financial assistance is necessary, and fect the Project will have on the Applicant's business or operations:
	This	Project is necessary for ACS's expansion in New York. Outgrowing its existing
	facili	ty, ACS needs to expand to meet the demands of their clients. The proposed
	Proje	ect would provide a larger operating facility for ACS's corporate office.
	Addi	tionally, the Project would allow ACS to create a modernized and expanded
	meta	I fabrication shop within the same facility as their corporate office, generating
	opera	ational efficiency.
	-	

D.	exp	for the granti	ng of the financial as	Project would not be undertaken by the Applicant sistance by the Agency? (If yes, explain; if no, e financial assistance with respect to the proposed
		YE	ES <u>X</u>	NO
	If t	he NCIDA is ject Facility a	s unable to provide is a corporate headqu	financial assistance, ACS would not use the narters and metal fabrication facility.
E.	Wou	stance for the	Project, what will be ant proceed with the P	e Agency financing or other Agency financial the impact on the Applicant and Nassau County? roject without Agency financing or other Agency
	If N	CIDA is unal cating their e	ole to provide financi ntire operation to a n	al assistance, ACS would be forced to consider new facility in a lower-cost location.
F.	Loca	ation of Projec	t:	
	Stree	et Address: 10	1 New South Road, V	Voodbury
	City	Village(s): N	<u>'A</u>	
	Tow	n(s): Oyster I	Bay	
	Scho	ol District(s):	Hicksville UFSD	
	Tax	Map Section:	46 Block: <u>585</u> Lot	: <u>39</u>
	Cens	us Tract Num	ber: <u>5143</u>	
G.	Disa	ery model a	Center for Developm	Day Program for Center for Developmental nental Disabilities is decentralizing their care vices in in smaller settings throughout the
H.	(a)	What are the taxes is not	ne current real estate available, provide ass	taxes on the Project site? (If amount of current essed value for each):
		General: School:	\$0 \$0	

School: Village:

\$0

The Property is currently off the tax rolls. The Property was assigned a final assessment of 25241 for the 2019/2020 School and 2020 General County tax years.

(b) Are tax certiorari proceedings currently pending with respect to the Project real property? If YES, attach details at Schedule I including copies of pleadings, decisions, etc.

YES NO X

I. Describe proposed Project site ownership structure (i.e., Applicant or other entity):

Trust formed by principal of Applicant will own the Property and lease it to the Applicant.

J. To what purpose will the building or buildings to be acquired, constructed or renovated be used by the Applicant? (Include description of goods to be sold, products to be manufactured, assembled or processed and services to be rendered.)

The Project Facility will be used as the corporate headquarters for growing HVAC design, fabrication and installation business.

K. If any space in the Project is to be leased to or occupied by third parties (i.e., parties not related to the Applicant), or is currently leased to or occupied by third parties who will remain as tenants, provide the names and contact information for each such tenant, indicate total square footage of the Project to be leased to each tenant, and describe proposed use by each tenant:

Current owner will lease a portion of the space back from the Applicant until April 2019.

L. Provide, to the extent available, the information requested, in Part I, Questions A, B, D and O, with respect to any party described in the preceding response.

N/A

M. Does the proposed Project meet zoning/land use requirements at proposed location?

YES X NO ___

- 1. Describe present zoning/land use: Light Industrial
- Describe required zoning/land use, if different: N/A
- If a change in zoning/land use is required, please provide details/status of any request for change of zoning/land use requirements: N/A

N.	Does the Ap	pplicant, or any relat site? If YES, please p	ed entity or person rovide details and a	n, currently hold a lease or license a copy of the lease/license.	on	
		YES		NO <u>X</u>		
O.	Does the Ap	oplicant, or any relat site?	ed entity or person.	, currently hold fee title to (i.e. o	wn)	
		YES	100	NO <u>X</u>		
	If YES, indi	cate:				
	(a)	Date of purchase:				
	(b)	Purchase price: \$_		·		
	(c) Balance of existing mortgage, if any: \$					
	(d)	Name of mortgage	holder:	30		
	(e)	Special conditions				
	If NO, indica Disabilities.	ate name of present o	wner of Project site	e: Center for Developmental		
P.	Does the A	pplicant or any rela Project site and/or a	ted person or enti ny buildings on the	ity have an option or a contract Project site?	to	
		YES X	1	NO		
	If YES, attac	ch copy of contract or	option at Schedule	e I and indicate:		
	(a)	Date signed:	June 19, 2018			
	(p)	Purchase price:	\$5,250,000.00			
	(c)	Closing date:	TBD			
	Is there a rel Applicant (as If YES, desc	nd/or its principals) a	by virtue of commond the seller of the	on control or ownership between Project (and/or its principals)?	the	
		YES	1	NO <u>X</u>		

Q.	Will customers personally visit the activities? If YES with respect to ei attached Retail Questionnaire (Scheo	e Project site for either of the following economic ther economic activity indicated below, complete the lule E).	0 0
	Sales of Goods: YES NO $\underline{\mathbf{X}}$	Sales of Services: YES NO X	
R.	or will be rocated and the impact of	onditions in the community where the Project site is the proposed Project on the community (including ion, fire and police and other government-provided	
	The Project Facility is located in a Woodbury. ACS's use of the Facility Project would not generate an under	n industrial area in the unincorporated hamlet of cility would be consistent with local uses. The ne burden on municipal resources.	10.5400000000000000000000000000000000000
S.	Identify the following Project parties	(if applicable):	
	Architect: N/A Engineer: N/A Contractors: N/A		
T.	Will the Project be designed and con (if YES, describe the LEED green bui	structed to comply with Green Building Standards? Iding rating that will be achieved):	
	YES	NO <u>X</u>	
U.	Is the proposed Project site located contamination and proposed remediati	on a Brownfield? (if YES, provide description of on)	
	YES	NO <u>X</u>	
V.	Will the proposed Project produce a un not otherwise available in the commun	nique service or product or provide a service that is ity in which the proposed Project site is located?	
	YES	NO <u>X</u>	
W.	Is the proposed Project site currently st Agency or otherwise)? If yes, explain.	bject to an IDA transaction (whether through the	
	YES	NO $\underline{\mathbf{X}}$	

PART III. CAPITAL COSTS OF THE PROJECT

A. Provide an estimate of cost of all items listed below:

	<u>Item</u>		Cost
1.	Land and/or Building Acquisition	S	5,250,000.00
2.	Building Demolition		262,500.00
3.	Construction/Reconstruction/Renovation		1,000,000.00
4.	Site Work	\$	
5.	Infrastructure Work	\$	50,000.00
6.	Architectural/Engineering Fees	100	50,000.00
7.	Applicant's Legal Fees	\$	50,000.00
8.	Financial Fees	\$	828212003888880
9.	1월 (1) 전환 (1) 전환 (1) 전환 (1) 전환 (1) 전환 (2) 전환 (1) 전환 (1) 전환 (2) 전환 (2	\$	25,000.00
	Other Professional Fees	\$	50,000.00
10.	Furniture, Equipment & Machinery	\$	750,000.00
	Acquisition (not included in 3. above)		,
11.	Other Soft Costs (describe)	\$	
12.	Other (describe)	6	
100 m	Total	\$7	,487,500.00

B. Estimated Sources of Funds for Project Costs:

a.	Tax-Exempt IDA Bonds:	\$0
b.	Taxable IDA Bonds:	\$0
C.	Conventional Mortgage Loans:	\$3,675,000
d.	SBA or other Governmental Financing: Identify:	\$0
e.	Other Public Sources (e.g., grants, tax credits): Identify:	\$0
f.	Other Loans:	\$0
g.	Equity Investment: (excluding equity attributable to grants/tax credits)	\$3,812,500

TOTAL

\$7,487,500.00

What percentage of the total project costs are funded/financed from public sector sources: 0%

C. Have any of the above costs been paid or incurred (including contracts of sale or purchase orders) as of the date of this application? If YES, describe particulars on a separate sheet.

YES

NO \underline{X}

D.	Are items of working capital m	Oving avness	es, work in progress, or stock in trade
10000000	included in the proposed uses of details:	the bond pro	oceeds (if applicable)? If YES, provide
e e	YES	NO	NOT APPLICABLE X
E.	Will any of the funds to be bor applicable, be used to repay or re- outstanding bond issue? If YES, pro	finance an ex	th the Agency's issuance of bonds, if isting mortgage, outstanding loan or an
	YES	NO	NOT APPLICABLE $\underline{\mathbf{X}}$
F.	or the provision of other third pa	rty financing	marketing or the purchase of the bonds (if applicable)? If YES, indicate with a copy of any term sheet or commitment
13	letter issued with respect to such fin	ancing.	a copy of any term sheet or commitment
	YES	NO $\underline{\mathbf{X}}$	NOT APPLICABLE
G.	Construction Cost Breakdown:		
	Total Cost of Construction:	\$2,162,500	(sum of 2-5 and 10 in Question A above)
	Cost for materials:	\$1,063,250	(
	% Sourced in County	7: 90%	
	% Sourced in State:	99% (incl. 0	County)
	Cost for labor:	\$1,063,250	
	% Sourced in County	: 90%	
	% Sourced in State:	99% (incl.	County)
	Cost for "other":		

The Applicant acknowledges that the transaction/bond documents may include a covenant by the Applicant to undertake and document the total amount of capital investment as set forth in this Application.

PART IV. COST/BENEFIT ANALYSIS

A. If the Applicant presently operates in Nassau County, provide the current annual payroll. Estimate projected payroll at the Project site in First Year, Second Year and Third Year after completion of the Project:

Applicant's current annual payroll is approximately \$5.8 MM. ACS covenants to create at least twenty-five (25) additional full time equivalent jobs within three (3) years of project completion. Applicant anticipates each new FTE will have an average salary of a minimum of \$60,000 per annum.

	Present	First Year	Second Year	Third Year
Full-time:	\$5,800,000 80 FTE	\$6,100,000 85 FTE	\$6,700,000 95 FT	\$7,300,000 105 FTE
Part-time:1	168	168	168	168

List the average salaries or provide ranges of salaries for the following categories of jobs (on a full-time equivalency basis) projected to be retained/created in Nassau County as a result of the proposed Project:

Category of Jobs	Average Salary or Range	Average Fringe Benefits or
to be Retained:	of Salary:	Range of Fringe Benefits
Management	\$100,000 - \$120,000	\$7,000 - \$10,000
Professional	\$80,000 - \$100,000	\$7,000 - \$10,000
Administrative	\$40,000 - \$50,000	\$7,000 - \$10,000
Production	\$50,000 - \$65,000	\$7,000 - \$10,000
Supervisor	\$70,000 - \$90,000	\$7,000 - \$10,000
Laborer	\$40,000 - \$50,000	\$7,000 - \$10,000
Independent Contractor ²		020,000
Other		

Category of Jobs to be Created:	Average Salary or Range of Salary:	Average Fringe Benefits or Range of Fringe Benefits
Project Management	\$100,000 - \$120,000	\$7,000 - \$10,000
Professional	\$80,000 - \$100,000	\$7,000 - \$10,000
Administrative	\$40,000 - \$50,000	\$7,000 - \$10,000

 $^{^{1}}$ NOTE: The Agency converts part-time jobs into FTE's for evaluation and reporting purposes by dividing the number of part-time jobs by two (2).

² As used in this chart, this category includes employees of independent contractors.

Production	\$50,000 - \$65,000	\$7,000 - \$10,000
Supervisor	\$70,000 - \$90,000	\$7,000 - \$10,000
Laborer	\$40,000 - \$50,000	\$7,000 - \$10,000
Independent Contractor ³		41,7000 \$20,000
Other		

The Agency may utilize the foregoing employment projections and the projections set forth in Schedule C, among other things, to determine the financial assistance that will be offered by the Agency to the Applicant. The Applicant acknowledges that the transaction/bond documents may include a covenant by the Applicant to retain the number of jobs, types of occupations and amount of payroll with respect to the Project set forth in this Application.

B. (i) Will the Applicant transfer current employees from existing location(s)? If YES, describe, please describe the number of current employees to be transferred and the location from which such employees would be transferred:

YES X	NO
1 110 11	NO

ACS will relocate 70 full time positions from Mount Vernon to the Woodbury Project Facility. Additionally, all Field Workers will report to the Woodbury location.

- (ii) Describe the number of estimated full time equivalent construction jobs to be created as a result of undertaking the project, to the extent any: $\underline{10}$
- C. What, if any, is the anticipated increase in the dollar amount of production, sales or services following completion of the Project?

TBD

What percentage of the foregoing amount is subject to New York sales and use tax?

TBD

What percentage of the Applicant's total dollar amount of production, sales or services (including production, sales or services rendered following completion of the Project) are made to customers outside the economic development region (i.e., Nassau and Suffolk Counties)?

80%

³ As used in this chart, this category includes employees of independent contractors.

Describe any other municipal revenues that will result from the Project (excluding the above and any PILOT payments):

Building permit fees to the Town of Oyster Bay.

D. What is the estimated aggregate annual amount of goods and services to be purchased by the Applicant for each year after completion of the Project and what portion will be sourced from businesses located in the County and the State (including the County):

	Amount	% Sourced in County	% Sourced in State
Year 1	\$50,000	90%	99%
Year 2	\$100,000	90%	99%
Year 3	\$100,000	90%	99%

E. Describe, if applicable, other benefits to the County anticipated as a result of the Project, including a projected annual estimate of additional sales tax revenue generated, directly and indirectly, as a result of undertaking the project:

This Project would provide Nassau County with new sales-tax revenue generated by new full time equivalent employees, as well as the second and tertiary jobs created by the new employees.

F. Estimated Value of Requested Financial Assistance:

Estimated Value of Sales Tax Benefit: (i.e., gross amount of cost of goods and services that are subject to state and local sales and use taxes multiplied by 8.625%)

\$91,705 (Estimated Maximum)

Estimated Value of Mortgage Tax Benefit: (i.e., principal amount of mortgage loans loans multiplied by [0.75%])

\$27,652 (Estimated Maximum)

Estimated Property Tax Benefit:

Will the proposed Project utilize a property tax exemption benefit other than from the Agency: (if so, please describe)

No

Term of PILOT Requested:

Existing Property Taxes on Land and Building:

N/A

Estimated Property Taxes on completed Project: (without Agency financial assistance)

N/A

NOTE: Upon receipt of this Application by the Agency,

the Agency's staff will create a PILOT schedule and estimate the amount of PILOT Benefit/Cost utilizing anticipated tax rates and assessed valuation, and attach such information as Exhibit A hereto.

G. Describe and estimate any other one-time municipal revenues (not including fees payable to the Agency) that the Project will create: <u>SEE ANSWER IV.C.</u>

		PART V. PI	ROJECT SCI	IEDULE	
A.	If app YES,	plicable, has construction/reco	onstruction/ren npletion: <u>N/A</u>	ovation work o	n the Project begun? If
Ti.	1.	(a) Site clearance	YES	NO <u>X</u>	% complete
		(b) Environmental Remediation	YES	NO <u>X</u>	% complete
		(c) Foundation	YES	NO $\underline{\mathbf{X}}$	% complete
		(d) Footings	YES	NO $\underline{\mathbf{X}}$	% complete
		(e) Steel	YES	NO $\underline{\mathbf{X}}$	% complete
		(f) Masonry	YES	NO $\underline{\mathbf{X}}$	% complete
		(g) Interior	YES	NO $\underline{\mathbf{X}}$	% complete
		(h) Other (describe below):	YES	$NO\underline{X}$	% complete
	2.	If NO to all of the above car of construction, reconstruction,	tegories, what tion, renovati	is the proposed on, installation	date of commencement or equipping of the
		ACS estimates that com- NCIDA approvals and clos		vill begin on	11/1/2018, subject to
B.	Provid Projec	de an estimate of time schedule et is expected to occur:	e to complete t	the Project and v	when the first use of the

approximately 30-60 days after renovation work commences.

ACS would complete interior renovations and first use the Project Facility

PART VI. ENVIRONMENTAL IMPACT

- What is the expected environmental impact of the Project? (Complete the attached A. Environmental Assessment Form (Schedule G)).
- Is an environmental impact statement required by Article 8 of the N.Y. Environmental B. Conservation Law (i.e., the New York State Environmental Quality Review Act)?

YES	3.10.44
1 100	NO X
A-company :	110 24

- Please be advised that the Agency may require at the sole cost and expense of the C. Applicant the preparation and delivery to the Agency of an environmental report in form and scope satisfactory to the Agency, depending on the responses set forth in the Environmental Assessment Form. If an environmental report has been or is being prepared in connection with the Project, please provide a copy.
- The Applicant authorizes the Agency to make inquiry of the United States Environmental D. Protection Agency, the New York State Department of Environmental Conservation or any other appropriate federal, state or local governmental agency or authority as to whether the Project site or any property adjacent to or within the immediate vicinity of the Project site is or has been identified as a site at which hazardous substances are being or have been used, stored, treated, generated, transported, processed, handled, produced, released or disposed of. The Applicant will be required to secure the written consent of the owner of the Project site to such inquiries (if the Applicant is not the owner), upon request of the Agency.

THE UNDERSIGNED HEREBY CERTIFIES, under penalties of perjury, that the answers and information provided above and in any schedule, exhibit or statement attached hereto are true, accurate and complete, to the best of the knowledge of the undersigned.

> Name of Applicant: Acs SYSTEM ASSOCIATES, INC.

Signature:

Name:

Ahmad Reyaz

Title:

President

Date:

9/11/2018

Sworn to before me this 1174

day of September, 2018

VAGRAM KARAKOZOV

Notary Public, State of New York Registration #D1KA6175854

Qualified In Kings Couldty Commission Expires Oct. 22, 20 /

CERTIFICATIONS AND ACKNOWLEDGMENTS OF THE APPLICANT

FIRST:

The Applicant hereby certifies that, if financial assistance is provided by the Agency for the proposed project, no funds of the Agency (i) shall be used in connection with the Project for the purpose of preventing the establishment of an industrial or manufacturing plant or for the purpose of advertising or promotional materials which depict elected or appointed government officials in either print or electronic media, (ii) be given to any group or organization which is attempting to prevent the establishment of an industrial or manufacturing plant within the State.

SECOND:

The Applicant hereby certifies that no member, manager, principal, officer or director of the Applicant or any affiliate thereof has any blood, marital or business relationship with any member of the Agency (or any member of the family of any member of the Agency).

THIRD:

The Applicant hereby certifies that neither the Applicant nor any of its affiliates, nor any of their respective partners, members, shareholders or other equity owners (other than equity owners of publicly-traded companies), nor any of their respective employees, officers, directors, or representatives (i) is a person or entity with whom United States persons or entities are restricted from doing business under regulations of the Office of Foreign Asset Control (OFAC) of the Department of the Treasury, including those named on OFAC's Specially Designated and Blocked Persons List, or under any statute, executive order or other governmental action, or (ii) has engaged in any dealings or transactions or is otherwise associated with such persons or entities.

FOURTH:

The Applicant hereby acknowledges that the Agency shall obtain and hereby authorizes the Agency to obtain credit reports and other financial background information and perform other due diligence on the Applicant and/or any other entity or individual related thereto, as the Agency may deem necessary to provide the requested financial assistance.

FIFTH:

The Applicant hereby certifies that each owner, occupant or operator that would receive financial assistance with respect to the proposed Project is in substantial compliance with applicable federal, state and local tax, worker protection and environmental laws, rules and regulations.

SIXTH:

The Applicant hereby acknowledges that the submission to the Agency of any knowingly false or knowingly misleading information may lead to the immediate termination of any financial assistance and the recapture from the Applicant of an amount equal to all or any part of any tax exemption claimed by reason of the Agency's involvement in the Project.

SEVENTH:

The Applicant hereby certifies that, as of the date of this Application, the Applicant is in substantial compliance with all provisions of Article 18-A of the General Municipal Law, including, but not limited to, the provisions of Section 859-a and Section 862(1) thereof

provisio	ons of Section 859-a and Section 862(1) thereof.
EIGHT	<u>ru</u> :
(i) Does	s the Project propose the creation of housing?
	YES NO
	If YES, how many units?
	If YES, the Applicant hereby certifies that:
	 the Applicant has adopted a Fair Housing/Equal Housing Opportunity Policy substantially in the form of <u>Exhibit B</u> to this Application;
	(b) the proposed Project complies with applicable fair housing laws and that eligibility criteria for housing in any part of the Project will not include any residency requirements or preferences, including durational ones, age restrictions (unless for senior housing permitted by law), or other discriminatory criteria;
	(c) the Applicant (1) has posted its Fair Housing/Equal Housing Opportunity Policy publicly; and (2) will display fair housing law posters for consumers in its rental or sales office(s), in a form substantially similar to the model fair housing posters attached to this Application as Exhibit C (the Agency will provide applicants with fair housing law posters for display upon request by an applicant); and
E B	(d) key employees of the Applicant in charge of marketing and rental of the Project have completed (or will complete within one year of closing) four (4) hours of fair housing training provided by Long Island Housing Services ("LIHS") at a reasonably acceptable time and location and it no additional cost to the Applicant. In the event LIHS declines to provide or make available easonably acceptable no-cost fair housing training, the provisions of this Certification VIII(i)(d) shall lease to be of any force and effect.
(ii) li ("Affords	f YES to (i) above, does the Project propose the creation of "affordable" or "workforce" housing ble Housing")?
Y	TES NO
	YES, the Applicant hereby certifies that the Applicant (1) has adopted a non-discriminatory firmative marketing plan that meets the criteria set forth in Exhibit D to this Application; and (2) ill submit such marketing plan to the Agency in writing prior to closing.
If	YES, answer the following questions.
(a	What portion of the Project would consist of Affordable Housing (e.g., number of units)?

What are the eligibility requirements for the Affordable Housing?

(b)

(c) Cite the specific source of such eligibility requirements (e.g., federal, state or local law).

Name of Applicant ACS SYSTEM ASSOCIATES, INC.

Ву:____

Name: AHMAD REYAZ Title: PRESIDENT

CERTIFICATION AND AGREEMENT WITH RESPECT TO FEES AND COSTS

Capitalized terms used but not otherwise defined in this Certification and Agreement shall have the meanings assigned to such terms in the Application.

The undersigned, being duly sworn, deposes and says, under penalties of perjury, as follows: that I am the chief executive officer or other representative authorized to bind the Applicant named in the attached application for financial assistance ("Application") and that I hold the office specified below my signature at the end of this Certification and Agreement, that I am authorized and empowered to deliver this Certification and Agreement and the Application for and on behalf of the Applicant, that I am familiar with the contents of said Application (including all schedules, exhibits and attachments thereto), and that said contents are true, accurate and complete to the best of my knowledge and belief.

The grounds of my belief relative to all matters in the Application that are not based upon my own personal knowledge are based upon investigations I have made or have caused to be made concerning the subject matter of this Application, as well as upon information acquired in the course of my duties and from the books and records of the Applicant.

As an authorized representative of the Applicant, I acknowledge and agree on behalf of the Applicant that the Applicant hereby releases the Nassau County Industrial Development Agency, its members, officers, servants, attorneys, agents and employees (collectively, the "Agency") from, agrees that the Agency shall not be liable for and agrees to indemnify, defend (with counsel selected by the Agency) and hold the Agency harmless from and against any and all liability, damages, causes of actions, losses, costs or expenses incurred by the Agency in connection with: (A) examination and processing of, and action pursuant to or upon, the Application, regardless of whether or not the Application or the financial assistance requested therein are favorably acted upon by the Agency, (B) the acquisition, construction, reconstruction, renovation, installation and/or equipping of the Project by the Agency, and (C) any further action taken by the Agency with respect to the Project; including, without limiting the generality of the foregoing, (i) all fees and expenses of the Agency's general counsel, bond counsel, economic development consultant, real property tax valuation consultant and other attorneys, experts and consultants (if deemed necessary or advisable by the Agency), and (ii) all other expenses (including attorneys' fees) incurred by the Agency in defending any suits, actions or proceedings that may arise as a result of any of the foregoing. If, for any reason whatsoever, the Applicant fails to conclude or consummate necessary negotiations or fails within a reasonable or specified period of time to take reasonable, proper or requested action or withdraws, abandons, cancels, or neglects the Application or if the Applicant is unable to find buyers willing to purchase the total bond issue required or is unable to secure other third party financing or otherwise fails to conclude the Project, then upon presentation of an invoice by the Agency, its agents, attorneys or assigns, the Applicant shall pay to the Agency, its agents, attorneys or assigns, as the case may be, all fees and expenses reflected in any such invoice.

As an authorized representative of the Applicant, I acknowledge and agree on behalf of the Applicant that each of the Agency's general counsel, bond counsel, economic development consultant, real property tax valuation consultant and other experts and consultants is an intended third-party beneficiary of this Certification and Agreement, and that each of them may (but shall not be obligated to) enforce the provisions of the immediately preceding paragraph, whether by lawsuit or otherwise, to collect the fees and expenses of such party or person incurred by the Agency (whether or not first paid by the Agency) with respect to the Application.

Upon successful closing of the required bond issue or other form of financing or Agency assistance, the Applicant shall pay to the Agency an administrative fee set by the Agency (which amount is payable at closing) in accordance with the following schedule:

- (A) Taxable Bond Issues Six-tenths (6/10) of one percent (1%) for the first twenty million dollars (\$20,000,000) of total project costs and, if applicable, two-tenths (2/10) of one percent (1%) for any additional amounts in excess of twenty million dollars (\$20,000,000) of total project costs.
- (B) Tax-Exempt Bond Issues Six-tenths (6/10) of one percent (1%) of total project costs.
- (C) Straight-Lease Transactions Six-tenths (6/10) of one percent (1%) for the first twenty million dollars (\$20,000,000) of total project costs and, if applicable, two-tenths (2/10) of one percent (1%) for any additional amounts in excess of twenty million dollars (\$20,000,000) of total project costs
- (D) General Counsel Fee One-tenth (1/10) of one percent (1%) of total project costs, with a minimum fee of \$2,000.
- (E) All Initial Transactions Two Thousand Five Hundred Dollars (\$2,500) closing compliance fee payable at closing and One Thousand Dollars (\$1,000) per year (or part thereof) administrative fee, payable in advance, at the closing for the first year (or part thereof) and on January 1st of each year for the term of the financing. The annual service fee is subject to periodic review and may be adjusted from time to time in the discretion of the Agency.
- (F) Refundings The Agency fee shall be determined on a case-by-case basis.
- (F) Assumptions The Agency fee shall be determined on a case-by-case basis.
- (G) Modifications The Agency fee shall be determined on a case-by-case basis.

The Agency's bond counsel fees and expenses are payable at closing and are based on the work performed in connection with the Project.

The Agency's bond counsel's fees, general counsel fee and the administrative fees may be considered as a cost of the Project and included as part of any resultant financing, subject to compliance with applicable law.

Upon the termination of the financing of the Project, Applicant agrees to pay all costs in connection with any conveyance by the Agency to the Applicant of the Agency's interest in the Project and the termination of all related Project documents, including the fees and expenses of the Agency's general counsel, bond counsel, and all applicable recording, filing or other related fees, taxes and charges.

I further acknowledge and agree on behalf of the Applicant that, in the event the Agency shall have used all of its available tax-exempt bond financing allocation from the State of New York, if applicable, and shall accordingly be unable to obtain an additional allocation for the benefit of the Applicant, the Agency shall have no liability or responsibility as a result of the inability of the Agency to issue and deliver tax-exempt bonds for the benefit of the Applicant.

Name AHMAD PRESIDENT Title:

REYAZ

ASSOCIATES, IN C. SYSTEM Acs

Subscribed and affirmed to me this 1174 day of SAMEMBER 18

VAGRAM KARAKOZOV
Notary Public, State of New York
Registration #01KA6175854
Qualified In Kings Causty
Commission Expires Oct. 201

TABLE OF SCHEDULES:

Schedule	Title	Complete as Indicated Below
A.	Tax-Exempt Bond Manufacturing Questionnaire	If Applicant checked "YES" in Part I, Question H of Application, if applicable[[
B.	New York State Financial and Employment Requirements for Industrial Development	All applicants ,
	Agencies	9 €
C.	Guidelines for Access to Employment Opportunities	All applicants
-		
D.	Anti-Raiding Questionnaire	If Applicant checked "YES" in Part I, Question O.2. of Application
E.	Retail Questionnaire	If Applicant checked "YES" in Part II, Question Q of Application
F.	Applicant's Financial Attachments, consisting of:	All applicants
	Applicant's financial statements fo in Applicant's annual reports).	r the last two fiscal years (unless included
	2. Applicant's annual reports (or Form	n 10-K's) for the two most recent fiscal years.
	 Applicant's quarterly reports (Form most recent Annual Report, if any. 	10-Q's) and current reports (Form 8-K's) since the
	any anticipated Guarantor of the pro	ormation described above in items F1, F2, and F3 of posed transaction, if different than the Applicant, ement of any anticipated Guarantor that is a natural
G.	Environmental Assessment Form	All applicants
H.	Form NYS-45 (and 45-ATT)	All applicants
I.	Other Attachments	As required

TAX-EXEMPT BOND MANUFACTURING QUESTIONNAIRE

(To be completed by the Applicant if the Applicant checked "YES" in Part I, Question H of the Application for Financial Assistance, if applicable).

essary.	r rease compre	ete the following questions for eac	h facility to be financed. Use additiona
1.	Describe the p	production process which occurs a	t the facility to be financed.
		-	
2		973 or 30 M	
2.	parking, resear	ch. sales, etc.) and location in rela	expressed in square footage) (e.g., procorage, warehouse, loading dock, repair tion to production (e.g., same building ttach blueprints of the facility to be fine
FUNC	CTION	LOCATION	SQ. FOOTAGE
3.	Of the space all production, etc. building, off-sit	and location in relation to broam	y function (e.g., executive offices, pay ction (e.g., same building, adjacent land
FUNC	on and	LOCATION	SQ. FOOTAGE
		TOTAL	

29

		LOCATION	
	Raw Materials used		
	for production of		
	manufactured goods		
			_
	Finished product storage		
		14-	
	Component parts of		
	goods manufactured at	•	1.0
	the facility	•	
	_		***
	Purchased component		**
	parts		
	Od /		
	Other (specify)		
	TO	CAT	
	101	AL	
5.	product(s).	facility to be financed in the processing	ng of the finished
	product(s).	facility to be financed in the processing	ng of the finished
6.	product(s).	facility to be financed in the processing are produced at the facility to be financed.	
	product(s).		
6.	List finished product(s) which	are produced at the facility to be final	nced.
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6.	List finished product(s) which SIGNED HEREBY CERTIFIES the	are produced at the facility to be final at the answers and information provid Name of Applicant: Signature: Name:	nced. ded above and in any

NEW YORK STATE FINANCIAL AND EMPLOYMENT REPORTING REQUIREMENTS FOR INDUSTRIAL DEVELOPMENT AGENCIES

A. Pursuant to applicable law, the Agency requires the completion of an Initial Employment Plan (see Schedule C) and a year-end employment plan status report, both of which shall be filed by the Nassau County Industrial Development Agency (the "Agency") with the New York State Department of Economic Development. The Project documents will require the Applicant to provide such report to the Agency on or before February 11 of the succeeding year, together with such employment verification information as the Agency may require.

Except as otherwise provided by collective bargaining agreements, the Applicant agrees to list any new employment opportunities with the New York Department of Labor Community Services Division and the administrative entity of the service delivery area created by the Federal Job Training Partnership Act (P.L. 97-300), or any successor statute thereto (the "JTPA Entities"). In addition, except as otherwise provided by collective bargaining agreements, the Applicant, where practicable, will first consider persons eligible to participate in JTPA programs who shall be referred by the JTPA Entities for such new employment opportunities.

- B. The Applicant will be required to file annually a statement with the New York State Department of Taxation and Finance and the Agency of the value of all sales or use tax exemptions claimed in connection with the Project by reason of the involvement of the Agency.
- C. The following information must be provided for all bonds issued, outstanding or retired during the year:

Name, address and owner of the project; total amount of tax exemptions granted (broken out by state and local sales tax, property taxes, and mortgage recording tax); payments in lieu of taxes made; total real estate taxes on the Project prior to exemption; number of jobs created and retained, and other economic benefits realized.

Date of issue; interest rate at end of year; bonds outstanding at beginning of year; bonds issued during year; principal payments made during year; bonds outstanding at end of year; federal tax status; and maturity date(s).

Failure to provide any of the aforesaid information will be constitute a DEFAULT under the Project documents to be entered into by the Agency and the Applicant in connection with the proposed Project.

Please sign below to indicate that the Applicant has read and understood the above and agrees to provide the described information on a timely basis.

Name of Applicant:

ACS SYSTEM ASSOCIATES, INC

Signature:

Name: Title:

Date:

AHMAD REYAZ PRESIDENT 9/11/2018

GUIDELINES FOR ACCESS TO EMPLOYMENT OPPORTUNITIES

INITIAL EMPLOYMENT PLAN

Prior to the expenditure of bond proceeds or the granting of other financial assistance, the Applicant shall complete the following initial employment plan:

Applicant Name:

ACS Systems Associates Inc.

Address:

160 W. Lincoln Avenue, Mount Vernon, NY 10550

Type of Business:

Designer, fabricator, and installer of HVAC systems.

Contact Person:

Tel. No.: (718) 293-6600

Please complete the following table describing the projected full-time equivalent employment plan for the proposed Project following receipt of financial assistance:

Estimated Number of

		Full Tu Jobs At	ted Number me Equivale fter Comple Project: ⁴	ini	Estimate of Number of Residents of the LMA ⁵ that would fill such jobs by the third year
Current and Planned Occupation	Present Jobs Per Occupation	1 year	2 years	3 years *	
Management	5	6	6	6	4
Professional	15	17	20	22	18
Administrative	10	12	12	14	12
Production	5	5	10	13	9
Supervisor	40	42 ^	45	45	35
Laborer	89	90	21	91	85

⁴ NOTE: Convert part-time jobs into FTE's for evaluation and reporting purposes by dividing the number of part-time jobs by two (2).

⁵ The "LMA" means the Local Market Area, which is defined by the Agency as Nassau and Suffolk Counties. The Labor Market Area is the same as the Long Island Economic Development Region, as established pursuant to Section 230 of the New York State Economic Development Law.

^{*}ACS will keep ten (10) full time equivalent positions at their Mt. Vernon facility, therefore the headcount at the Nassau County Project Facility will be one hundred seventy nine (179) after three years of Project completion.

acquisition, construction and/or renovation	construction jobs anticipated to be created in connection with the
	r the new jobs shown above and any special recruitment or training
Hiring will commence as soon as possible	post-closing.
Are the Applicant's employees currently con	ered by a collective bargaining agreement?
YES	NO X
IF YES, Union Name and Local: N/A	
Please note that the Agency may utilize	ha farmating
acknowledges that the transaction/bond doc above number of jobs, types of occupations a Attached hereto as <u>Schedule H</u> is a true, cor Combined Withholding, Wage Reporting, an Upon request of the Agency the Appli	the foregoing employment projections, among other things, to a be offered by the Agency to the Applicant. The Applicant numents may include a covenant by the Applicant to retain the notal amount of payroll with respect to the proposed project. The amount of payroll with respect to the proposed project. The amount of payroll with respect to the proposed project. The amount of payroll with respect to the proposed project. The amount of payroll with respect to the proposed project. The amount of payroll with respect to the Applicant's most recent Quarterly and Unemployment Insurance Return (Form NYS-45 and 45-ATT), cant shall provide such other or additional information or with respect to the Applicant's current employment levels in the
acknowledges that the transaction/bond doc above number of jobs, types of occupations a Attached hereto as <u>Schedule H</u> is a true, cor Combined Withholding, Wage Reporting, an Upon request of the Agency, the Appli documentation as the Agency may require v State of New York.	rect and complete copy of the Applicant's most recent Quarterly d'Unemployment Insurance Return (Form NYS-45 and 45-ATT), cant shall provide such other or additional information or rith respect to the Applicant's current employment levels in the
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acknowledges that the transaction/bond doc above number of jobs, types of occupations a Attached hereto as <u>Schedule H</u> is a true, cor Combined Withholding, Wage Reporting, an Upon request of the Agency, the Appli documentation as the Agency may require v State of New York. The UNDERSIGNED HEREBY CERTIFIE	rect and complete copy of the Applicant's most recent Quarterly deep to the Applicant's most recent Quarterly deep to the Deep to the proposed project. The test and complete copy of the Applicant's most recent Quarterly defined the Unemployment Insurance Return (Form NYS-45 and 45-ATT), cant shall provide such other or additional information or with respect to the Applicant's current employment levels in the State the answers and information provided above and in any complete. Name of Applicant: ACS System Associates, Inc.

ANTI-RAIDING QUESTIONNAIRE

(To be completed by Applicant if Applicant checked "YES" in Part I, Question O of the Application for Financial Assistance)

A. Will the completion of the Project result in the removal of a plant or facility of the Applicant, or of a proposed user, occupant or tenant of the Project, or a relocation of any employee of the Applicant or of a proposed user, occupant or tenant of the Project, from an area in New York State (but outside of Nassau County) to an area within Nassau County?

YES X

NO

If the answer to Question A is YES, please provide the following information:

Address of the to-be-removed plant or facility or the plants or facilities from which employees are relocated:

160 West Lincoln Avenue, Mt. Vernon, NY 10550

Names of all current users, occupants or tenants of the to-be-removed plant or facility:

ACS System Associates, Inc.

B. Will the completion of the Project result in the abandonment of one or more plants or facilities of the Applicant, or of a proposed user, occupant or tenant of the Project, located in an area of the State of New York other than in Nassau County?

YES X

NO

If the answer to Question B is YES, please provide the following information:

Addresses of the to-be-abandoned plants or facilities: 160 West Lincoln Avenue, Mt, Vernon, NY 10550

Names of all current occupants of the to-be-abandoned plants or facilities: ACS System Associates, Inc.

C. Has the Applicant contacted the local industrial development agency at which its current plants or facilities in New York State are located with respect to the Applicant's intention to move or abandon such plants or facilities?

YES

NO X

If the answer to Question C is YES, please provide details in a separate attachment.

IF THE ANSWER TO EITHER QUESTION A OR B IS "YES", ANSWER QUESTIONS D AND E.

Is the Project reasonably necessary to preserve the competitive position of the Applicant, D. or of a proposed user, occupant or tenant of the Project, in its industry?

YES X

NO ___

Is the Project reasonably necessary to discourage the Applicant, or a proposed user, E. occupant or tenant of the Project, from removing such plant or facility to a location outside of the State of New York?

YES X

NO

IF THE ANSWER TO EITHER QUESTION D OR E IS "YES", PLEASE PROVIDE DETAILS IN A SEPARATE ATTACHMENT.

Accordingly, the Applicant certifies that the provisions of Section 862(1) of the General Municipal Law will not be violated if financial assistance is provided by the Agency for the proposed Project.

NOTE: If the proposed Project involves the removal or abandonment of a plant or facility of the Applicant, or a proposed user, occupant or tenant of the Project, within the State of New York, notification will be made by the Agency to the chief executive officer(s) of the municipality or municipalities in which such plant or facility

THE UNDERSIGNED HEREBY CERTIFIES that the answers and information provided above and in any statement attached hereto are true, correct and complete.

Name of

Applicant:

ACS SYSTEM ASSOCIATES, INC.

AHMAD REYAZ

PRESIDENT

Signature:

Name:

Title:

Date:



Nicholas T. Terzulli Associate

Direct Dial: 516.227.0733 Direct Fax: 516.336.2208 nterzulli@farrellfritz.com

1320 RXR Plaza Uniondale, NY 11556 www.farrellfritz.com

Our File No. 35158,100

August 14, 2018

Hon. Joseph J. Kearney Executive Director Nassau County Industrial Development Agency 1 West Street, Mineola, NY 115501

Re: ACS System Associates, Inc. ("ACS") Anti-Raiding Questionnaire Supplement

Dear Mr. Kearney:

Outgrowing their current 20,000 square foot facility in Mt. Vernon, New York, ACS embarked on an aggressive search for properties in Westchester County, Nassau County and throughout New Jersey that would accommodate their expanding HVAC system design, manufacturing, and installation business.

While reviewing a number of properties in New Jersey, of which six listing sheets are enclosed, ACS is also considering a property in Nassau County for their new corporate headquarters. The Nassau County property is approximately 38,000 square feet. Nearly doubling their existing facility, the Nassau County property will allow ACS to have their corporate offices and metal fabrication shop in the same location creating operational efficiency.

This Project would create 179 new full time equivalent jobs in Nassau County after three years of completion. Thank you for your consideration.

Very truly yours,

Nicholas T. Terzulli

NTT/an Enclosures Search Results

33 New Bridge Rd

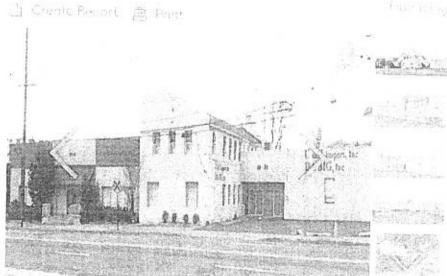
Bergentielo, NJ 07621 - Industrial For Sale



Please send me additional information about

Presented by

FAIRCLOUGH REALTORS



Warehouse with showroom in Bergenfield

Price

Property Type

Property Sub-type

Building Class

Sale Type

Rentable Building 1.

Sale Conditions

Year Built

Tenancy

Parking Ratio

Clant Celling

Hejchit

No Dock High

Cogravico aimo

No. Drive in /

http://www.loopnet.com/for-sale-industrial/?sk=daf514cdd8f3bf83498c5ec538212f62

& Search Revutes

Conex 274 of AUC Main 3 155 Exprind

CoStar

ee al interior this listing





232 & 185 Dey St

	,		
Price	53,700 648	Year Built	
Property Type	(cul-ustres)	Tenoncy	
Property Sub-type	Vertical Comment (1965)	Parking Rotio	
Building Class		Clear Ceiling Height	12 63 15 61
Sale Type	Owner dear	No Dack-High Doors/Loading	1.1
Lat Size	0.8a eC	No. Drive In /	

Grade-Level

Rentable Building 15, 507-57 - Zoning

 Rentable Building
 15 50/155
 - Zoning

 Area
 Description

 No. Stories
 APN / Parcel ID

Find out more .

Lost theater

+ It 101 talls through this is good + price 3,5000 for + 10 docks much

- meadowlands
weekend
mess

Description

Listing ID:

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WAREHOUSE BUILDING FOR LEASE/SALE 2-62 WOOD STREET - PATERSON NEW JERSEY





101,000 SQ. FT ON 3.18 ACRES

CEILING HIGHT 182-24

63,955 SF AVAILABLE NOW

22,750 SF & 16,660 SF LEASED 100

II LOADING DOCKS I DRIVE IN

DRY SPRINKLER SYSTEM

FOR SALE \$8,500,000

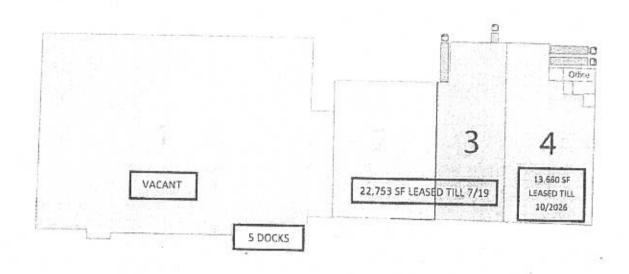
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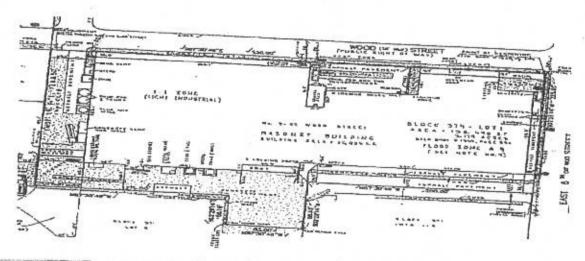
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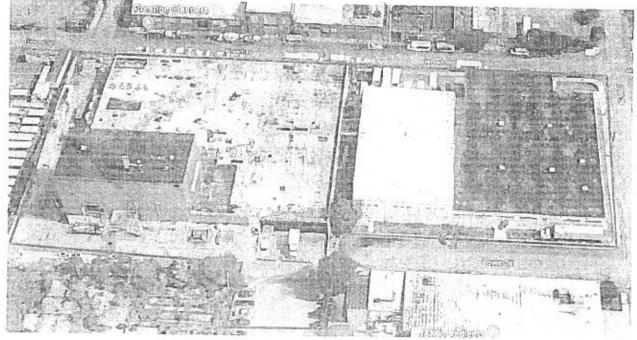
FOR ADDITIONAL INFORMATION PLEASE CONTACT EXCLUSIVE BROKER: YEHUDA DEUTSCH - SALES ASSOCIATE

T: 201-786-5050 # 105 C: 718-809-6436 Email: ydeutsch a greiner-maltz.com

INDUSTRIA . COMMERCIAL and RETAIL REAL ESTATE Since 1900



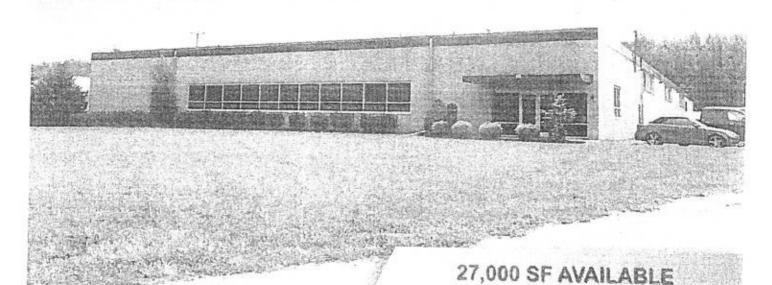






400 CENTRAL AVENUE FARMINGDALE, NJ GATEWAY BUSINESS PARK

INDUSTRIAL BUILDING FOR SALE



PROPERTY FEATURES

 Close proximity to Interstate 195 and Routes 18, 33, 34 and 66

3.6 acres building expansion possible

- Newly renovated offices ±3,500 SF
- · Abundant surface parking spaces available
- 4 loading docks, 2 levelers
- · One drive-in door
- · Ceiling height 15
- · Wet sprinkling system
- · Power 1200a/208-240/
- Column spacing 25 w x 30 d

Paul E. Glannono Executive Managing Director +1 732 452 5184

paul elaymone@cyahyarka.com

Kaylo M. Cetton 9000 Monaging Oirector 1: 732 455 6186

ram variandianshnis - ----

Symiable Space

Similar record of the state of the state

Joseph B. Vacca Associate -1736 452 6168



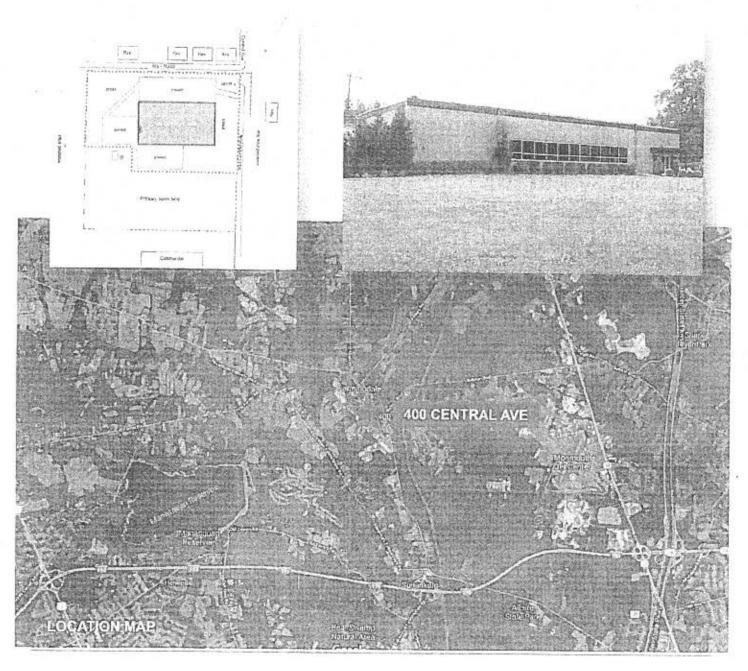


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400 CENTRAL AVENUE FARMINGDALE, NJ GATEWAY BUSINESS PARK



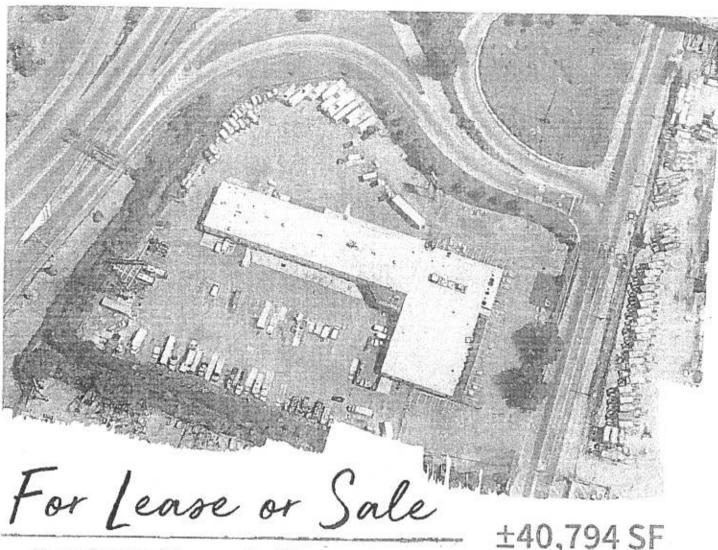
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Online 14 733 613 6106

Online 14 733 613 6106



Heavy Duty Maintenance Facility ± 40,794 SF on 5.5 Acres available January 1, 2018

Shop ± 20,490 SF

Bay doors

35 total overhead bay doors

32 overhead bay doors 12' x 14'

1 door

Truck wash

1 door

Storage

1 door

Dynamometer

Clear height and width: 15'5" (h) x 68' (w)

Office 9,634 SF

1-story area

6,700 SF

2-story area (x2)

1.467 SF

Parts Department ± 10.670 SF

Overhead bay doors:

2 overhead bay doors

Clear height:

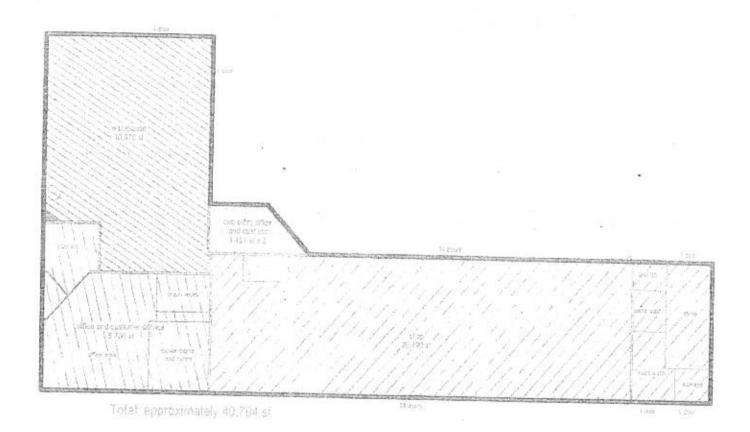
14' - 18

±40,794 SF

41-65 Doremus Avenue Newark, NJ

Dean Brody

Ser se



Highlights and Location

- Signage facing New Jersey Turnpike
- Located directly off Exit 15E on New Jersey Turnpike
- Accessible to Routes 1 & 9, I-78 and I-80
- 3 miles to Holland Tunnel
- 5 miles to Lincoln Tunnel
- 9 miles to George Washington Bridge



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RETAIL QUESTIONNAIRE

(To be completed by Applicant if Applicant checked either "YES" in Part II, Question Q of the Application for Financial Assistance)

	makir	than Agency financing) consi- ng retail sales to customers who	at of facilities or prope	cost to be financed from equity or sources city that are or will be primarily used in oject?
		YES		NO <u>X</u>
proper	ty (as c	ic prate of New York (the "Ta)	X Law") nomaniy enga	by a registered vendor under Article 28 of aged in the retail sale of tangible personal (ii) sales of a service to customers who
В.	such f	cost to be manced from equi	ty or sources other than	cost of the Project (including that portion Agency financing) will be expended on les of goods or services to customers who
				6
C.	If the indica	answer to Question A is YES, te whether any of the following	and the amount entere apply to the Project:	d for Question B is greater than 33.33%,
	,1. ;	Is the Project likely to attra development region (i.e., Na located?	ct a significant numbe assau and Suffolk Cou	r of visitors from outside the economic nties) in which the Project is or will be
		YES	Ν	10
	2.	not, out for the Project, be re	easonably accessible to il be located, because	available goods or services which would the residents of the city, town or village of a lack of reasonably accessible retail
		YES	N	0
	3.	numbering area (or census according to the most recent or which the data relates, or at le	tract or block numb census data, has (i) a po- east 20% of the househ	(a) an area designated as an empire zone of Law; or (b) a census tract or block ering area contiguous thereto) which, everty rate of at least 20% for the year in olds receiving public assistance, and (ii) ewide unemployment rate for the year to
		YES	N	0

	If the answer to any of the subdivisions 1 to	hrough 3 of Question C is YES, attach details.
D.	If the answer to any of the subdivisions 2	through 3 of Question C is YES, will the Project preserve the overall number of permanent, private sector jobs in the
	YES	NO
E.	State percentage of the Applicant's annual	gross revenues comprised of each of the following:
	Retail Sales:%	Services:%
F.	State percentage of Project premises utilized	for same:
	Retail Sales:%	Services:%
The U statem	JNDERSIGNED HEREBY CERTIFIES that nent attached hereto are true, correct and compl	the answers and information provided above and in any ete.
		Name of Applicant ACS SYSTEM ASSOCIATES, INC
		Signature: Name: AHWAD REYAZ
		Title: PRESIDENT Date: 9/11/2018

Schedule F

APPLICANT'S FINANCIAL ATTACHMENTS

Schedule G

ENVIRONMENTAL ASSESSMENT FORM

Short Environmental Assessment Form Part 1 - Project Information

Instructions for Completing

Part I - Project Information. The applicant or project sponsor is responsible for the completion of Part I. Responses become part of the application for approval or funding, are subject to public review, and may be subject to further verification. Complete Part 1 based on information currently available. If additional research or investigation would be needed to fully respond to any item, please answer as thoroughly as possible based on current information.

Complete all items in Part 1. You may also provide any additional information which you believe will be needed by or useful to the lead agency; attach additional pages as necessary to supplement any item.

Part 1 - Project and Sponsor Information						
Name of Action or Project:						
ACS System Associates, Inc.						
Project Location (describe, and attach a location map):						
101 New South Road, Hicksville, New York						
Brief Description of Proposed Action:						
Property acquisition; installation of certain fixtures, machineryn and equipment						
Name of Applicant or Sponsor:	Telephone: 914-665-58	100				
ACS System Associates, Inc.		E-Mail: ahmadreyaz@acssystem.com				
Address:	anmadreyaz@a	cssystem (com			
160 W. Lincoln Avenue						
City/PO:	State:	Zi	Code:			
Mount Vernon	NY	105	7.0°0.00.00000			
1. Does the proposed action only involve the legislative adoption of a plan, administrative rule, or regulation?	local law, ordinance,		NO	YES		
If Yes, attach a narrative description of the intent of the proposed action and may be affected in the municipality and proceed to Part 2. If no, continue to	d the environmental resou o question 2.	rces that	V			
Does the proposed action require a permit, approval or funding from any If Yes, list agency(s) name and permit or approval:	other governmental Age	ncy?	NO	YES		
12 1 cs, not agency(s) name and permit of approvat:			\checkmark			
3.a. Total acreage of the site of the proposed action? b. Total acreage to be physically disturbed? c. Total acreage (project site and any contiguous properties) owned or controlled by the applicant or project sponsor?	2.64 acres 2.64 acres 2.64 acres					
	i. nercial Residential (s (specify):	uburban)				

13	i. Is the proposed action,	NO	YES	N/A
1	a. A permitted use under the zoning regulations?	П	1	In
	b. Consistent with the adopted comprehensive plan?	Ħ	1	十一
6	i. Is the proposed action consistent with the predominant character of the existing built or natural landscape?		NO	YES
7	. Is the site of the proposed action located in, or does it adjoin, a state listed Critical Environmental Arc f Yes, identify:	ea?	NO	YES
-			1	
8	a. Will the proposed action result in a substantial increase in traffic above present levels?		NO	YES
	b. Are public transportation service(s) available at or near the site of the proposed action?		✓	V
	4. Are any pedestrian accommodations or bicycle routes available on or near site of the proposed acti	on?	1	
9. If	. Does the proposed action meet or exceed the state energy code requirements? fthe proposed action will exceed requirements, describe design features and technologies:		NO	YES
-	O. W.U.I.		Ш	V
l I	Will the proposed action connect to an existing public/private water supply?		NO	YES
_	If No, describe method for providing potable water:			V
11	Will the proposed action connect to existing wastewater utilities?		NO	YES
	If No, describe method for providing wastewater treatment:	[V
12	2. a Does the site contain a structure that is listed on either the State or National Register of Historic Places?		NO	YES
	b. Is the proposed action located in an archeological sensitive area?	-	V	
13	3. a. Does any portion of the site of the proposed action, or lands adjoining the proposed action, contain wetlands or other waterbodies regulated by a federal, state or local agency?	-	NO NO	YES
	b. Would the proposed action physically alter, or encroach into, any existing wedged or waterbody?	-	V	H
If	Yes, identify the wetland or waterbody and extent of alterations in square feet or acres:		A	
14	Identify the typical habitat types that occur on, or are likely to be found on the project site. Check all Shoreline Forest Agricultural/grasslands Early mid-succession Wetland Urban Suburban	that ap	ply:	
15	Does the site of the proposed action contain any species of animal, or associated habitats, listed by the State or Federal government as threatened or endangered?	Ţ	NO	YES
FC			1	
10	. Is the project site located in the 100 year flood plain?	-	NO	YES
	. Will the proposed action create storm water discharge, either from point or non-point sources?	-	NO NO	YES
17	V			
If	Yes, a. Will storm water discharges flow to adjacent properties?		1	

18. Does the proposed action include construction or other activities that result in the impoundment of water or other liquids (e.g. retention pond, waste lagoon, dam)?		
If Yes, explain purpose and size:		
10 Harak is co	. V	
19. Has the site of the proposed action or an adjoining property been the location of an active or closed solid waste management facility?	NO	YES
If Yes, describe:	V	
10 Has the site of the proposed a Viv	لتنا	
20. Has the site of the proposed action or an adjoining property been the subject of remediation (ongoing or completed) for hazardous waste?	NO	YES
f Yes, describe:	V	
AFFIRM THAT THE INFORMATION PROVIDED ABOVE IS TRUE AND ACCURATE TO THE NOWLEDGE	BEST O	F MY
pplicant/sponsor name: Ahmad Reyaz/PRESIDENT/ ACS SYSTEM Date: September 9, 2018		
ignature: ASSOCIATES INC.		

FORM NYS-45

Attach most recent quarterly filing of Form NYS-45 and 45-ATT, as well as the most recent fourth quarter filing. Please remove the employee social security numbers and note which employees are part-time.

NYS-45-ATT (2/13)

Quarterly Combined Withholding, Wage Reporting, And Unemployment Insurance Return-Attachment



Withholding identification number.

1

Employer legal name:

ACS SYSTEM ASSOCIATES INC.

Mark an X in the applicable box(es):

A. Original X or Amended return

Jan 1 - Apr 1 - X July 1 - Oct 1 - Mar 31 Jun 30 Sep 30 Dec 31 Year 1 2 3 4

B. Other wages only reported on this page

Quarterly employee/payee wage reporting information (Do not enter negative numbers in columns c, d, and e; see instructions)						nnual wage and within his return is for the 4th qu urn you will be filing for the applete columns d and e.	arter or the last
a Social security number	b Last name, first nam	ne, middle initial	a	Total UI remuneration paid this quarter	d	Gross federal wages or distribution (see instr.)	Total NYS, NYC, and e Yonkers tax withheld
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XXXXX7406	7	.L		2240.00			
XXXXX2643		N -		41599.81	Х		
XXXXX9559	40000	S		15507.38	X	WARRE	= FT
XXXXX7413	(Linear)	M		6634.60	Х		
XXXXX6177		L		25490.40			
XXXXX3758		- AI		9938.46	Х		
XXXXX0118		0		3658.96			
XXXXX2852		F		9387.50	Х		
XXXXX8881				579.96			
XXXXX0262	f.	rM.		21005.10	X		
XXXXX7690	, S	in é		39696.00	X		
XXXXX2581		T		1673.07			
XXXXX6003		A		39251.12	Х		
XXXXX6902		Ō		5318.44			
XXXXX3126	Z			13846.20	Х		
age No. 1 of 16				252282.75			
If first pa	age, enter grand tota ges	als		2966642.53			

Contact information (see instructions)

Daytime telephone number

For affice use aniy Postmark

Received Date

ACS8 29-Jun-2018 16:28 117350 1122

Mail to: NYS EMPLOYMENT CONTRIBUTIONS AND TAXES
PO BOX 4119

(2/13)

Quarterly Combined Withholding, Wage Reporting, And Unemployment Insurance Return-Attachment



Withholding identification number

1

Employer legal name:

ACS SYSTEM ASSOCIATES INC.

Mark an X in the applicable box(es):

A. Original X or Amended return

Jan 1 - Apr 1 - X July 1 - Oct 1 - 18
Mar 31 Jun 30 Sep 30 Dec 31 Year Y Y

B. Other wages only reported on this page _____

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XXXXX4308	F	1362.24			
KR08XXXXX	J	10384.62	X		
XXXXX7907	- L	27147.81			
XXXXX8315	} H	8875.63			
XXXXX2052	D	511.36			
XXXXX0921	A	44848.84			
XXXXX5453	3	68.04			
XXXXX6049	.Y	340.56			
XXXXX4086	Н	340.56			
XXXXX1416	0	22775.95			
XXXXX7645	E	3198.97			
XXXXX1456	A	1496.10			
XXXX8974	'R	22151.23	X		
XXXX6254	A	18461.52	X		
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Contact information (see instructions)

Name

Daytime telephone number

For office use only Postmark

Received Date

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ACS8 29-Jun-2018 16:28 117350 1122

Mail to: NYS EMPLOYMENT CONTRIBUTIONS AND TAXES PO BOX 4119

(2/13)

Quarterly Combined Withholding, Wage Reporting, And Unemployment Insurance Return-Attachment



Withholding identification number:

Employer legal name:

ACS SYSTEM ASSOCIATES INC.

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A. Original X or Amended return

Apr 1 - X July 1 -Jun 30 Sep 30 2 3

B. Other wages only reported on this page

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XXXXX8837	S	3077.02		
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XXXXX4665	A	7214.00		9
XXXXX7090	A	12901.28		
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Contact information (see instructions)

Daytime telephone number

ACS8 29-Jun-2018 16:28 117350 1122

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Received Date

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(2/13)

Quarterly Combined Withholding, Wage Reporting, And Unemployment Insurance Return-Attachment



Withholding identification number.

Employer legal name:

ACS SYSTEM ASSOCIATES INC.

Mark an X in the applicable box(es):

A. Original X or Amended return

Jan 1- Aar 1- X July 1-Mar 31 Jun 30 Sap 30 1 2 3 Oct 1-Dec 31 Yaar 18

B. Other wages only reported on this page

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XXXXX6	692		N	7788.93			
XXXXX0	487		S	64.80			
XXXXX1	110		A	18932.31	X		
XXXXX5	742		Н _	15027.94			
XXXXX7]	112		В	6602.40	Χ .		
XXXXX13	397		Т	27945.26			
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Contact information (see instructions)	Name	Daytime telephone number

ACS8 29-Jun-2018 16:28 117350 1122

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Received Date

of all pages

Mail to: NYS EMPLOYMENT CONTRIBUTIONS AND TAXES PO BOX 4119 BINGHAMTON NY 13902-4119

NYS-45-ATT (2/13)

Quarterly Combined Withholding, Wage Reporting, And Unemployment Insurance Return-Attachment



Withholding identification number.

1

Employer legal name:

ACS SYSTEM ASSOCIATES INC.

Mark an X in the applicable box(es):

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Jan 1 - Apr 1 - X July 1 - Oct 1 - Oct 3 1 Year 1 8

B. Other wages only reported on this page

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XXXXX8628		L	650.40		
XXXXX5628		.D	3251.70		
XXXXX6513		И	8888.76		
XXXXX1139		A	502.44		
XXXXX8241		'A	4903.85	X	
XXXXX1349		.N -	25526.95	Х	
XXXXX0591		S	3065.04		
XXXXX7684	-	A	17727.89	×	
XXXXX4598		- 0	15480.00	10/2	
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Contact Information (see instructions)

Name

Daytime telephone number

ACS8 29-Jun-2018 16:28 117350 1122

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Raceived Date

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Quarterly Combined Withholding, Wage Reporting, And Unemployment Insurance Return-Attachment



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Quarterly Combined Withholding, Wage Reporting, And Unemployment Insurance Return-Attachment



Withholding identification number:

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Employer legal name:

ACS SYSTEM ASSOCIATES INC.

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Quarterly Combined Withholding, Wage Reporting, And Unemployment Insurance Return-Attachment



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Quarterly Combined Withholding, Wage Reporting, And Unemployment Insurance Return-Attachment



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Employer legal name:

ACS SYSTEM ASSOCIATES INC.

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Quarterly Combined Withholding, Wage Reporting, And Unemployment Insurance Return-Attachment



Withholding identification number:

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Employer legal name:

ACS SYSTEM ASSOCIATES INC.

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Quarterly Combined Withholding, Wage Reporting, And Unemployment Insurance Return-Attachment



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Quarterly Combined Withholding, Wage Reporting, And Unemployment Insurance Return-Attachment



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NYS-45-ATT (2/13)

Quarterly Combined Withholding, Wage Reporting, And Unemployment Insurance Return-Attachment



Withholding identification number.

Employer legal name;

ACS SYSTEM ASSOCIATES INC.

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NYS-45-ATT (2/13)

Quarterly Combined Withholding, Wage Reporting, And Unemployment Insurance Return-Attachment



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Quarterly Combined Withholding, Wage Reporting, And Unemployment Insurance Return-Attachment



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ACS SYSTEM ASSOCIATES INC.

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OTHER ATTACHMENTS

ACS has a public construction contract with Nassau County to replace the boiler at Nassau County Court, 262 Old Country Road, Mineola. ACS's competitive sealed qualified low-bid proposal was selected by Nassau County on January 11, 2016 with an executed contract dated as of April 27, 2016.

Contract #: B90611-06G

EXHIBIT A

Upon acceptance of the Application by the Agency for processing and completion of the Cost/Benefit Analysis, the Agency will attach a proposed PILOT Schedule hereto, together with an estimate of the net tax benefit/cost of the proposed PILOT Schedule.

EXHIBIT B

Fair Housing/Equal Housing Opportunity Policy to be adopted by Agency Applicants for Housing Projects

As part of our continuing effort to ensure compliance with federal, state, and local anti-discrimination laws, we would like to take this opportunity to remind you of our policies regarding equal housing opportunity. It is is keep in mind the importance of treating all persons equally.

It is the policy and practice of this company not to engage in or assist the efforts of others to engage in housing discrimination. Consistent with that policy, we remind you that the antidiscrimination laws of the United States, New York State, and local laws are quite specific in the area of housing, and in conformance with those laws, you must not engage in any of the following conduct during the course of your work for this company:

- Refuse to show, rent, sell, negotiate for the rental or sale of, or otherwise make unavailable or deny, housing to any person because of race, color, religion, creed, sex/gender, familial status (having or expecting a child under 18), national origin, ethnicity, disability, marital status, age, sexual "prohibited basis");
- Discriminate against any person in the terms, conditions or privileges of a rental or sale or in the provision of services or facilities in connection therewith because of a prohibited basis;
- Make any verbal or written statement with respect to the rental or sale of housing that indicates any
 preference, limitation or discrimination concerning a prohibited basis, or any statement indicating an
 intention to make any such preference, limitation or discrimination;
- Represent to any person because of a prohibited basis that any housing or unit is not available for inspection, rental or sale when such apartment is in fact so available;
- Steer persons into or away from certain areas of a building, development or neighborhood because of a prohibited basis;
- Refuse to provide a reasonable accommodation in rules, policies, practices or services for tenants, buyers, or applicants with disabilities; and
- Refuse to allow a reasonable modification to individual units or common areas for tenants, buyers, or applicants with disabilities.

We are firmly committed to the goal of fair housing. You should understand that any violation of this Fair Housing/Equal Housing Opportunity Policy will lead to discipline, up to and including discharge.

EXHIBIT C

Sample Fair Housing Posters

U. B. Department of Housing and Urban Development





We Do Business in Accordance With the Federal Fair Housing Law

(The Fair Housing Amendments Act of 1988)

It is illegal to Discriminate Against Any Person Because of Race, Color, Religion, Sex, Handicap, Familial Status, or National Origin

- In the sale or rental of housing or residential lots
- In advertising the sale or rental of housing
- In the financing of housing
- In the provision of real estate brokerage services
- In the appraisal of housing
- Blockbusting is also illegal

Anyone who feels he or she has been discriminated against may flic a compistint of housing discrimination:

1-800-669-9777 (T'all Pree) 1-800-927-9275 (TTV)

www.hud.gov/fairhoushig

U.S. Department of Housing and Urban Development Assistant Secretary for Fair Housing and Equal Opportunity Washington, D.C. 20410

Previous address are abacicio

form (FUD-400.1 (5/2011)

HOUSING DISCRIMINATION IS SOMETIMES BLATANT, SOMETIMES BUT ALWAYS UNLAWFUL.



EXHIBIT D Requirements for Affirmative Marketing Plans for Housing Projects

Affirmative marketing plans submitted by the Applicant shall be required only for affordable or "workforce" units and shall contain the following information:

- Street address, village, town, zip code, and census tract number for the Project;
- Number of affordable units to be marketed and whether they will be available for rent or purchase;
- The number, if any, and location of market rate units included in the Project;
- Whether the housing will be "housing for older persons", defined as at least 80% occupancy of units with at least one person 55 or older or 100% occupancy of persons age 62 or older;
- A description of how units will be advertised for sale or rental prior to first occupancy, including whether Applicant will utilize its own website, commercial websites, print media outlets, social media outlets such as Facebook, a sign at the project site, mailings, leaflets/flyers, brochures, and other forms of advertising;
- A statement that the Applicant will use fair housing logo or phrase "Equal Housing Opportunity" on all advertising described above;
- A statement that the Applicant will distribute written information regarding the availability of affordable units at the project to a list of organizations provided to the Applicant by the Agency, which list may be updated annually;
- Whether the Applicant will conduct the marketing and initial rent-up or sales itself or contract with a third-party;
- 9. A statement that an initial application period with a specific start and end date will be utilized for accepting applications for consideration for the initial rental of the units and that the period will last for at least thirty (30) days after the marketing described in this plan is commenced. In addition, a statement that following the initial application period, all the applications submitted during the initial application period will be considered through the use of a lottery and not on a first-come first-served basis, unless the number of applications received during the initial application period is less than the total number of units available for rental.
- A statement that the Applicant will maintain records of the activities it undertakes to implement its marketing plan.